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JC498 U.S. PTO 07/12/02

JC579 U.S. PTO 60/395124 07/12/02

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No.

INVENTOR(S)

Given Name (first and middle [if any])	Family Name or Surname	Residence (City and either State or Foreign Country)
John	Patchell	Ottawa, Ontario

☐ *Additional inventors are being named on the _______ separately numbered sheets attached hereto*

TITLE OF THE INVENTION (500 characters max)

HUMAN DETECTION DEVICE

Direct all correspondence to: **CORRESPONDENCE ADDRESS**

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OR

[X] Firm or Individual Name	GOWLING LAFLEUR HENDERSON, LLP				
Address	160 Elgin Street, Suite 2600				
Address					
City	Ottawa	State	Ontario	ZIP	K1P 1C3
Country	Canada	Telephone	(613) 233-1781	Fax	(613) 563-9869

ENCLOSED APPLICATION PARTS *(check all that apply)*

[X] Specification *Number of Pages* 14 ☐ CD(s), Number

[X] Drawing(s) *Number of Sheets* 3 ☐ Other (specify)

☐ Application Data Sheet. See 37 CFR 1.76

METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT

☐ Applicant claims small entity status. See 37 CFR 1.27.

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The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

[X] No

☐ Yes, the name of the U.S. Government agency and the Government contract number are ____________

Respectfully submitted,

SIGNATURE Dallas F. Smith

Date July 8 2002

TYPED or PRINTED NAME DALLAS F. SMITH

REGISTRATION NO. *(if appropriate)* 34,074

Docket Number: 08-895257us

TELEPHONE (613) 783-8827

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

Ref. No. 08-895257us

HUMAN DETECTION DEVICE

Field of the Invention

The present invention relates to human detection devices, and is particularly concerned with building access control.

Background of the Invention

Known systems for access control consist of pedestals that house optical (typically near-infrared) beams across a path to monitor the passage of a person. These systems are very effective in counting the number of people and can easily distinguish the direction of passage.

A major vulnerability, however, is that it is very difficult for these systems to distinguish between one person passing and two people passing side by side.

A known system uses (See US patents # 4,091,376, 4,562,428) a pair of leaky cables as antennas to detect the presence of a person in the area near the cables. These systems have been deployed outdoors for perimeter security. Another application of RF for detecting people is the use of the microwave intrusion detection systems. These systems use a microwave Tx and Rx pair and detect the passage of a person moving between the antennas when the beam is broken.

Summary of the Invention

An object of the present invention is to provide an improved human detection device.

Lisa

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In accordance with an aspect of the present invention there is provided a device for detecting humans comprising: a radio frequency transmitter for generating a signal; a radio frequency receiver spaced relative to the radio frequency transmitter for receiving a portion of the signal; a path therebetween sufficient for humans to pass between the transmitter and receiver. The receiver includes a detector responsive to a change in the received portion of the signal for determining the passing by of a human.

Accordingly, the present invention uses radio frequency electromagnetic waves (RF) for the detection of people. According to an aspect of the present invention there is provided a device capable of determining passage of a person between a transmit (Tx) and receive (Rx) antenna. The device is useful for monitoring the passage of people into and out of a controlled area (e.g. a building). In an embodiment of the present invention a typical separation between the Tx and Rx is from 75 to 120 cm, thus allowing passage of people, and, at the wider spacing, wheelchairs.

Brief Description of the Drawings

The present invention will be further understood from the following detailed description with reference to the drawings in which:

Fig. 1 illustrates in a perspective drawing a device for human detection in accordance with an embodiment of the present invention;

Fig. 2 illustrates a transmitter and transmitter antenna in accordance with an embodiment of the present invention;

Fig. 3 illustrates a transmitter and receiver for the device in accordance with an embodiment of the present invention;

Fig. 4 illustrates a transmitter and receiver for the device in accordance with a further embodiment of the present invention; and

Fig. 5 is illustrates a building access system including a plurality of devices of Fig. 1.

Detailed Description of the Preferred Embodiment

Referring to Fig. 1, there is illustrated in a perspective drawing a device for human detection in accordance with an embodiment of the present invention. The device 10 includes two plinths 12 and 14 defining a passageway with an ingress direction as indicated by an arrow 16. One pedestal, for example plinth 12, houses a transmitter 18 while the other pedestal 14 houses a receiver 20.

In operation, the transmitter 18 transmits an RF electromagnetic wave indicated generally by 22 from a transmitter antenna, not shown in Fig. 1, while the receiver 20, coupled to a receiver antenna, also not shown in Fig. 1 receives a portion of the electromagnetic waves. With no person present in the path, a relatively steady signal strength is received.

However, when a person passes through the paths for example in direction 16, the signal strength decreases because of absorption of the signal. If two persons were to pass an even lower signal strength would be detected. By adjusting transmitter and antenna parameters, discrimination of the device can be enhanced.

There are several important parameters for an RF detection system. Frequency of operation is an important parameter. The frequency must provide good interaction between a human body and the RF field, give a more or less uniform response independently of where a person walks through the lane (i.e. left vs. center vs. right) and it must allow the use of reasonably sized antennas. Also the frequency must fit within radio-spectrum regulations.

For a turnstile application, frequencies between about 80 and 300 MHz give a strong interaction with people. With a pedestal spacing of about 1 meter, frequencies

between 100 and 200 MHz give a fairly uniform field. The pedestals are typically about 1 meter tall. If a half-wave vertical dipole is used, this indicates a frequency near 150 MHz. There is a band near this frequency that is available for licensing.

Fig. 2 illustrates a transmitter and transmitter antenna in accordance with an embodiment of the present invention. The transmitter 18 is coupled to a folded dipole antenna 30 through a balun 32 and a cable 34. A reflective metallic surface 36 is shown with the dipole antenna 30 spaced a distance 38 therefrom.

The best electric field polarization for detection of walking people is substantially vertical. An example of an antenna that gives this polarization is a 90-cm long folded dipole. This generally makes the antenna a very efficient radiator at 150 MHz and at the same time it fits nicely in a one metre high pedestal. The folded dipole is connected with a balun 32 to prevent the lead-in cables from becoming sensitive to movement.

Other types of antennas can be used. Some testing has been done with slot antennas, loop antennas and simple dipoles. Thus far the folded dipole has given the best results. Slots work almost as well but must be oriented horizontally to produce the necessary vertically polarized field. However, there is normally insufficient space, at least for one that peaks around 150 MHz. If there were bandwidth available near 200 MHz, then a horizontal slot could be a good choice.

Multi-path and interference between adjacent lanes are considerations for this system. Multi-path can, in some cases, lead to the system receiving large signals from people walking at some distance from the path being monitored. This is particularly true in buildings where there is a large amount of metal structure. Multi-path can be controlled by introducing metal plates behind the antennas, for example, the reflective metallic surface 36 of Fig. 2. In particular, it has been found that a metal plate approximately 1m tall x 60 cm wide is sufficient to control multi-path in most situations. The exact size of the plate is not critical, and different antenna configurations may work best with other sizes of plates. The metal plates also reduce

the likelihood of interference between adjacent lanes. A typical spacing 38 between the antenna and the plate is 5cm. A further advantage of the plates is that they tend to make the detection field more uniform between the pedestals and reduce it everywhere else. The plates do not need to be solid metal. A wire mesh will do.

At the VHF frequencies (30 MHz to 300 MHz), people generally act as absorbers and attenuators of RF energy. The human body has a high dielectric constant and moderate conductivity. At the frequency of operation being used, these combine to give an RF skin depth of 5 to 20 cm. It is this combination that results in the partial absorption of the RF waves that is important to the present invention. At higher frequencies, the skin depth is much less and RF waves are simply blocked. Also the antennas being used give a very broad beam width (over 120 degrees). So even when someone is standing right next to the antenna, some of the RF energy can go around the person. It is because of these two properties (partial absorption and broad beam width) that two people have more effect than one. At lower frequencies (less than 10MHz), there is little interaction between the human body and the RF waves so these frequencies are generally not as useful for embodiments of the present invention:

The received signal is in general reduced when someone walks between the antennas. With no person between the antennas, the signal is about –28dB below the transmitted signal. When a person walks between the antennas, the signal goes down to about –36dB. The exact amount of reduction depends to some extent on the size of the person (larger people reduce the signal more) and where in the lane the person walks (center vs. left or right). The variation from these two factors is approximately 1 to 3 dB. When two people try to pass side by side, the signal drops to around –43 dB and thus it is easy to determine a parallel pass by checking the amplitude of the signal. The response to a person in a wheelchair is slightly stronger than for a single person but still less than that for two people.

It may turn out that some very large people may have a response similar to that of two very small people walking side by side. If this happens to be the case then

a user's signatures could be registered so that when an access card is presented the system knows how large a response to expect. Different lanes would need the capability of sharing this registration information between them so that a person only needs to be registered once.

Fig. 3 illustrates a transmitter and receiver for the device in accordance with an embodiment of the present invention. The transmitter 18 includes an oscillator 40, a mixer 42, and a pseudo random number code generator 44 and a transmit antenna 30. The receiver 20 includes a receive antenna 50, an input filter 52, a mixer 54, an amplifier 58 and a detector 60. The output of the mixer 42 of transmitter 18 is coupled to the mixer 54 of receiver 20. As an alternative to the PN code generator 44, a white noise generator could be used.

To further reduce the possibility of interference, the system preferably uses spectrum spreading. This can be achieved either by frequency sweeping or by mixing the transmitted signal with a pseudo random code or white noise as in Fig. 3. If codes are used, different lanes can use different codes. A second advantage of spread spectrum is that the system can easily come under the radio regulations for maximum field strength (150μVolts/metre at 3 metres). And a third advantage is that spreading the spectrum makes the system less susceptible to multi-path effects (hot spots and/or dead spots). Because of current radio regulations, a good operating range for this system is 138 to 149.9 MHz. Future regulation changes may make another choice preferable.

Alternatively, as shown in Fig. 4, the receiver can include a 90 degree phase shifter plus I (In phase) and Q (Quadrature) mixers so that both the phase and the amplitude of the response can be determined. The additional information provided can be used to determine the difference between a large target near the turnstile and a small target directly between the antennas. This additional information can also help to determine if a second person is following closely behind the first person. In some

cases, when the field is not symmetrical about the axis between the pedestals, the phase information can be used to determine the direction of passage.

A second method of determining the direction of passage uses two receive antennas and two receive channels and is based on the time delay between the two received signals. It has been found that a 30-cm spacing between the two Rx antennas is sufficient to provide reliable direction determination. Smaller separations may also be practical in some cases.

Another embodiment uses two antennas connected in parallel with a power splitter. This embodiment has the advantage of making the antennas much less sensitive to someone coming very close, but not passing through the passage. With single antennas, it is necessary to provide a space between the antenna and the closest approach of a person of about 10cm. With double antennas separated by 10 to 30 cm, this guard zone can be reduced to 5cm or less. This is important in order to make the pedestals fairly thin for aesthetic reasons.

More complex systems could use multiple antennas to reduce close up sensitivity, multiple receive antennas and channels to get target direction, or even completely redundant systems to give better direction discrimination.

In some cases it may be desirable to augment the detection with antennas that produce a horizontally polarized field. These antennas could be vertical slot antennas or horizontal dipoles. Either a separate processor could be used or the horizontally polarized antennas could be connected in parallel with suitable attenuation to produce the correct sensitivity balance between the two modes. There is little interference from the horizontal antennas with the main vertically polarized field. Either a separate processor could be used or the antennas could simply be run in parallel with suitable attenuation to produce the correct sensitivity balance between the horizontal and vertical modes.

The system can also be used to monitor a doorway. For such an application, it may be useful to use two folded dipoles one above the other, or possibly a lower frequency (88 MHz to 108 MHz could be a suitable band) with a longer antenna. For doorways with metal frames, it will be necessary to have the antenna stood off by a few cm. Further tests would be needed to determine the operation of the system with a metal door.

Because of the metal plates 36, the system operation is fairly independent of nearby metal objects or people walking near the pedestals. It may be advantageous to add metal shields at the end of the pedestals to further reduce the effect of people standing just outside the lane.

Fig. 5 illustrates a building access system 100 including a plurality of devices of Fig. 1. The devices 10 define a plurality of access paths 16 detection signals from each receiver are routed to an access controller 102. In addition to RF detection, the building access system 100 may also include IR beam detection 104 and video surveillance 110.

Known technology used for access control employs a number of infrared beams across the lane. When these beams are broken the signals are processed to determine the direction of passage and other parameters. As can be appreciated by those of ordinary skill, the information from the light beams could combine with the data from embodiments of the present invention to get an overall estimate of what is happening. For example, if the beams were blocked with a large piece of cardboard any number of people could pass without being detected by the light beams. However, if combined with the RF system according to embodiments of the present invention, these people would be detected. Or if a number of people were standing near the pedestals, there might be enough response for the RF system to indicate someone was in the lane but the IR information could be used to determine that this was not the case.

Similarly, the information from the RF system could be combined with data from video sensors, distance measuring sensors and /or a stereo video system. A video system may be used simply for alarm assessment or for determining more information about the person passing through the turnstile. Sensors that can provide distance information either based on ranging or stereo vision might be particularly useful.

Ref. No. 08-895257us

What is claimed is:

1. A device for detecting humans comprising:

a radio frequency transmitter for generating a signal;

a radio frequency receiver spaced relative to the radio frequency transmitter for receiving a portion of the signal;

a path therebetween sufficient for humans to pass between the transmitter and receiver; and

a receiver that includes a detector responsive to a change in the received portion of the signal for determining the passing by of a human.

2. A device as claimed in Claim 1 wherein the radio frequency transmitter and receiver are each housed in a pedestal.

3. A device as claimed in Claim 2 wherein the path comprises a lane defined by spacing between the pedestals.

4. A device as claimed in any of Claims 1, 2 or 3 wherein the radio frequency transmitter includes a first antenna for generating a vertically polarized radio frequency signal.

5. A device as claimed in any of Claims 1 to 4 wherein the first antenna comprises a dipole antenna.

6. A device as claimed in any of Claims 1 to 4 wherein the first antenna comprises a folded dipole antenna.

7. A device as claimed in any of Claims 1 to 6 further comprising a second antenna for generating a horizontally polarized signal.

8. A device as claimed in any of Claim 6 or 7 wherein the second antenna comprises a dipole antenna.

9. A device as claimed in any of Claim 6 or 7 wherein the second antenna comprises a folded dipole antenna.

10. A device as claimed in any of Claims 1, 2, or 3 wherein the radio frequency transmitter includes a first and a third antenna.

11. A device as claimed in Claim 10 wherein the first and third antennas comprise a dipole antenna.

12. A device as claimed in Claim 10 wherein the first and third antennas comprise a folded dipole antenna.

13. A device as claimed in any of Claims 11 or 12 further comprising a second antenna for generating a horizontally polarized signal.

14. A device as claimed in any of Claims 10 to 13 wherein first and third antennas are coupled to a single transmitter.

15. A device as claimed in any of Claims 10 to 13 wherein first and third antennas are coupled to separate transmitters.

16. Any of Claims 4 to 15 wherein a metallic reflector is positioned behind each antenna relative to the path.

17. A device as claimed in claims 1 to 16 wherein the detector responds to a change in the amplitude of the received signal.

18. A device as claimed in claims 1 to 16 wherein both the phase and amplitude of the received signal are used in making a determination.

19. A building access security system comprising a plurality of devices of any of claims 1 to 18.

20. A people counting system comprising a plurality of devices of any of claims 1 to 18.

21. A building access security system comprising a video camera system and a plurality of the devices of any of claims 1 to 18.

22. A building access security system comprising a plurality of devices of any of claims 1 to 18, each further comprising an IR detection beam system.

23. A building access security system comprising a plurality of the devices of any of claims 1 to 18, each device further comprising distance sensors.

24. A building access security system as claimed in claims 22 or 23 further comprising a video camera system.

25. A building access security system as claimed in claim 21 or 24 wherein the video camera system includes stereo video.

ABSTRACT

A device for detecting humans includes a radio frequency transmitter for generating a signal in a frequency range in which human bodies absorb RF radiation. A radio frequency receiver is spaced relative to the radio frequency transmitter for receiving a portion of the signal. A human passageway is provided between the transmitter and receiver. The receiver includes a detector responsive to a change in the received portion of the signal for determining the passing by of a human. The detector is responsive to an amplitude and/or phase change in the received portion of the signal. A building access security system or people counting system would include a plurality of devices set up in adjacent lanes. The RF detection system may be augmented with the addition of an IR detection beam system, distance sensors and/or a video camera system. The video camera system may include stereo video.

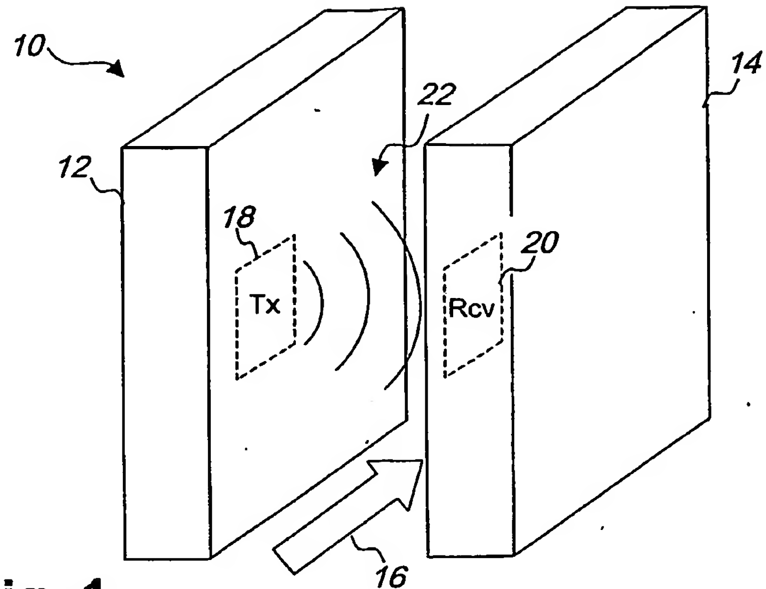
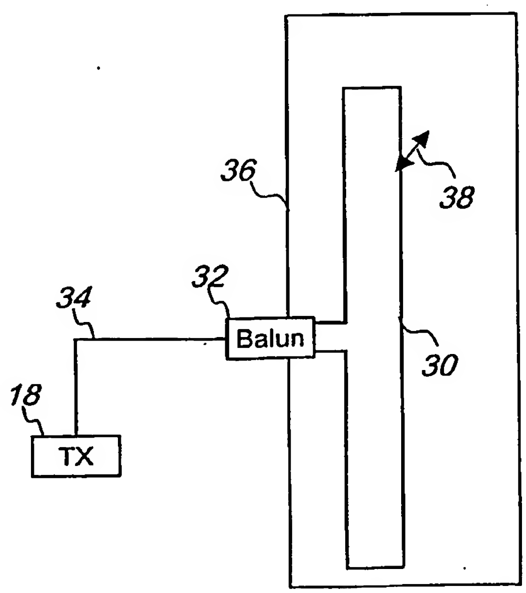
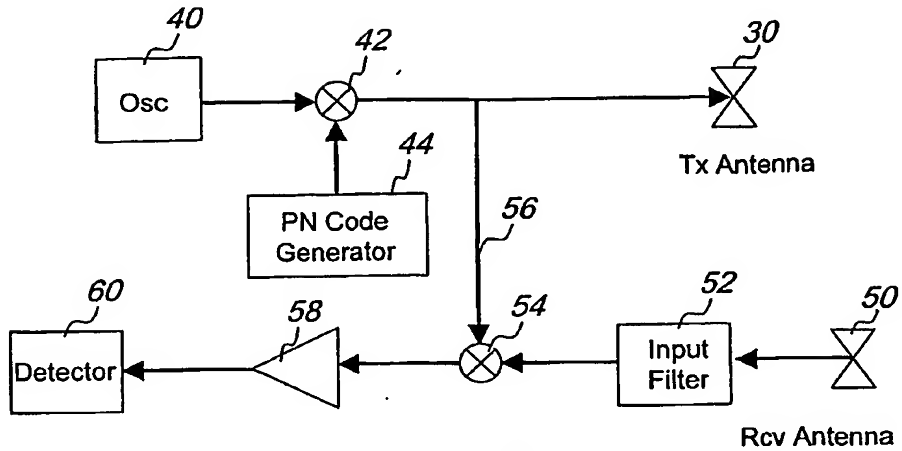


Fig. 1

Fig. 2

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Osc
42
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56
60
58
52
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Detector
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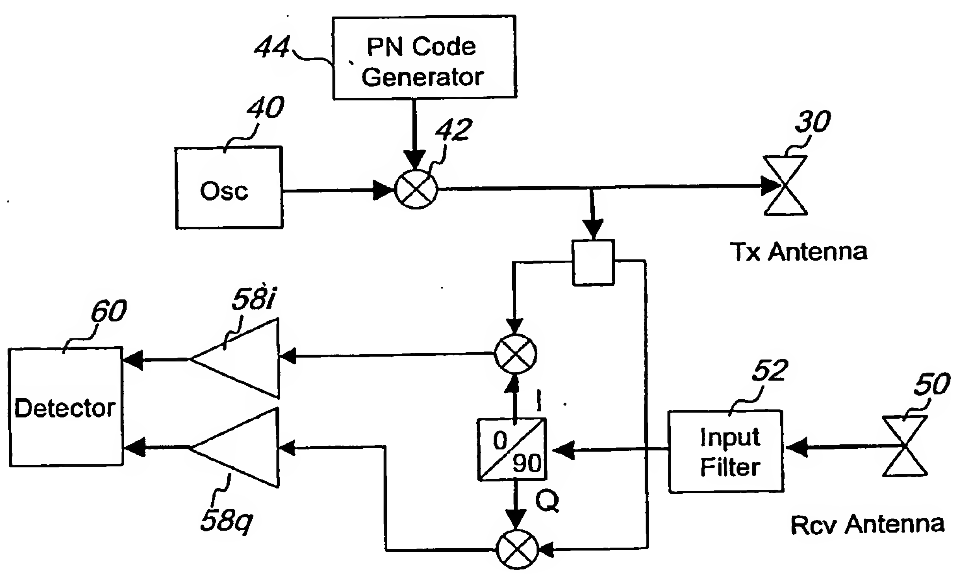
Input Filter
Rcv Antenna

Fig. 3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Rcv Antenna

Fig. 4

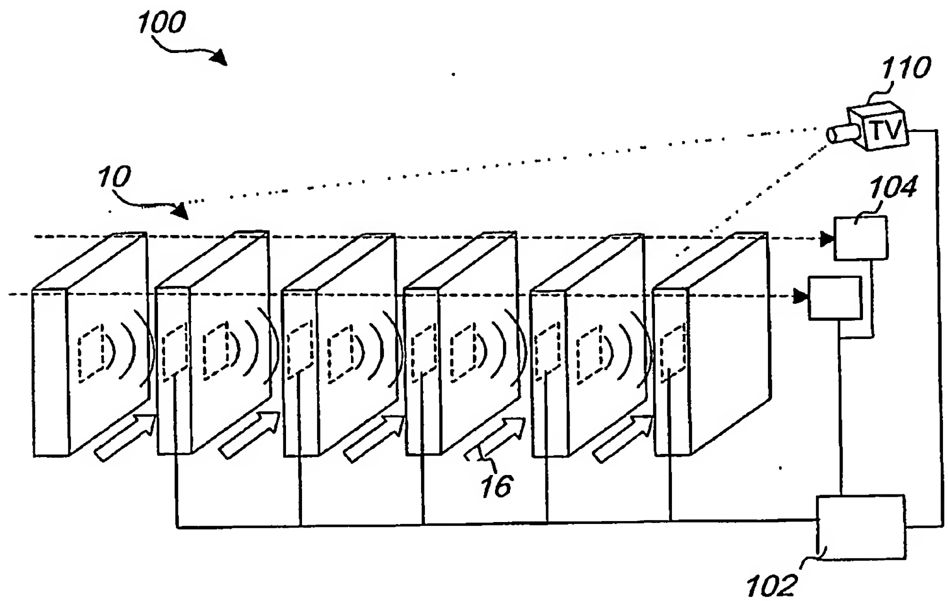

Fig. 5